

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: PEDRO MATA LOPEZ, ET AL.
Serial No.: 10/542,937 Group No.: 1634
Filed SEPTEMBER 8, 2006 Examiner: KAPUSHOC, STEPHEN T.
For: METHOD AND DEVICE FOR THE DETECTION OF MUTATIONS IN
INSOLATED GENE SEQUENCES OF LOW-DENSITY LIPOPROTEIN
RECEPTOR (LDL-R) WHICH IS ASSOCIATED WITH FAMILIAL
HYPERCHOLESTEROLEMIA
Attorney Docket No.: U 015859-4 CONFIRMATION NO: 5381

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED NOTICE OF RECORDATION

It is respectfully requested that the attached request for corrected notice of recordation be acted on. As shown by the copy of the date stamped postcard the request was received on February 13, 2008.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

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- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office to (571)-273-8300
EFS-WEB
☒ transmitted electronically

Date: September 23, 2009


Signature

Janet I. Cord
type or print name of person certifying)

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'J. Cord', is written over a horizontal line.

JANET I. CORD
LADAS & PARRY LLP
26 WEST 61ST STREET
NEW YORK, NEW YORK 10023
REG. NO.33778 (212)708-1935

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Pedro Mata **LOPEZ**, et al

Serial No.: 10/542,937

Group No.: 1651

Filed: September 8, 2006

Examiner: - -

For: METHOD AND DEVICE FOR THE DETECTION OF MUTATIONS IN ISOLATED
GENE SEQUENCES OF LOW-DENSITY LIPOPROTEIN RECEPTOR (LDL-R)
WHICH IS ASSOCIATED WITH FAMILIAL HYPERCHOLESTEROLEMIA

Mail Stop Assignments**Director of the United States Patent and Trademark Office****P. O. Box 1450****Alexandria, VA 22313-1450****REQUEST FOR CORRECTED NOTICE OF RECORDATION**

The Notice of Recordation of January 22, 2008, the Cover Sheet and the Assignment therefor are attached.

Please [X] correct "TECNOLOGICO" should be - - TECNOLOGICO - -

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

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transmitted by facsimile to the Patent and Trademark Office. to (703) 308-7124

Date: February 8, 2008

Signature

JANET L. CORD*(type or print name of person certifying)*

Reg. No.: 33,778

Tel. No.: (212)708-1935

Customer No.: 00140

SIGNATURE OF PRACTITIONER

JANET I. CORD

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 22, 2008

PTAS



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JANET I. CORD
LADAS & PARRY LLP
26 WEST 61ST STREET
NEW YORK, N.Y. 10023

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 01/16/2008

REEL/FRAME: 020390/0770
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: U 015859-4

ASSIGNOR:

LACER, .S.A.

DOC DATE: 11/13/2007

ASSIGNEE:

PROGENIKA BIOPHARMA, S.A.
PARQUE TECNOLÓGICO DE
BIZKAIA, EDIFICIO 801B
48160 DERIO, VIZCAYA, SPAIN

SERIAL NUMBER: 10542937

FILING DATE: 09/08/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD AND DEVICE FOR THE DETECTION OF MUTATIONS IN ISOLATED GENE SEQUENCES OF THE LOW-DENSITY LIPOPROTEIN RECEPTOR (LDL-R) WHICH IS ASSOCIATED WITH FAMILIAL HYPERCHOLESTEROLEMIA

JEEVON JONES, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION



RECORD#

01-18-2008

Attorney Docket U 015859.4



103476415

To the Director of Patents
(with signed English translation if necessary)

Document copy

1. Name of conveying party(ies):

1. LACER, S.A.
- 2.
- 3.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party (ies):

Name: PROGENIKA BIOPHARMA, S.A.

Address: PARQUE TECNOLOGICO DE
BIZKAIA, EDIFICIO 801B, 48160
DERIO, VIZCAYA, SPAIN

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement ☐ Change of Address *
☐ Government Interest Assignment (old address above)
☐ Executive Order 9424, Confirmatory License * may be by attorney
☐ Other _____

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

Execution Date(s): 1. NOVEMBER 13, 2007

2.

3.

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.☒ Patent Application No.(s): 10/542,937☐ Patent No.(s):Additional numbers attached? ☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JANET I. CORD

Address: Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023
(fax) (212) 246-8959

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) 1 @ \$40.00 each

☒ Check Enclosed☒ Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

9. Signature:

JANET I. CORD

Name of Person Signing

Signature

01/17/2008 11:04:01 00000018 10542937

01 FC:802 JANUARY 14, 2008

Date

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Total number of pages including coversheet, attachments, and documents:

5

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: ☐ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or entity(ies)
who own the invention

LACER, S.A.
(type or print name(s) of ASSIGNOR(S))
Cerdña, 350
Address
08025 Barcelona - Spain
Spanish
Nationality

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on September 8, 2006

Reel 018299
Frame 0600

hereby sells, assigns and transfers to

ASSIGNEE:

PROGENIKA BIOPHARMA, S.A.
(type or print name(s) of ASSIGNEE(S))
PARQUE TECNOLÓGICO DE BIZKAIA,
Address
EDIFICIO 801B, 48160 DERIO,
VIZCAYA, SPAIN
SPANISH
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) right, title and
interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:

METHOD AND DEVICE FOR THE DETECTION OF MUTATIONS IN ISOLATED GENE
SEQUENCES OF THE LOW-DENSITY LIPOPROTEIN RECEPTOR (LDL-R) WHICH IS
ASSOCIATED WITH FAMILIAL HYPERCHOLESTEROLEMIA

Name of inventor(s) MATA LOPEZ, PEDRO
ALONSO KARLEZI, RODRIGO, ALBERTO
MOZAS ALONSO, PILAR
REYES LEAL, GILBERTO
POCOVI MIERAS, MIGUEL
CASTILLO FERNANDEZ, SERGIO
TEJEDOR HERNANDEZ, DIEGO
MARTINEZ MARTINEZ, ANTONIO
MALLEN PEREZ, MIGUEL

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith
(b) ☐ Not yet filed U.S. patent application executed on _____
(c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for
the above-entitled invention
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my
attorney to insert below the filing date and application number when
they become known.
(d) ☒ U.S. patent or provisional patent application no. 10/542,937
filed on September 8, 2006
(e) ☐ International application no. PCT / _____ / _____ filed on _____
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding
patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: (x) November 13, 2007 (x) [Signature]
Signature of ASSIGNOR(S)

Date: _____

Date: _____

Date: _____

(if ASSIGNOR is a legal entity, complete the following information)

(x) HELMUT ANDRESS
(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

(x) CHAIRMAN
Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

U 015859-4

February 8, 2008

In re: PEDRO MATA LOPEZ, ET AL

Serial No.: 10/542,937

Group No.: 1651

Filed: September 8, 2006

Examiner: - -

For: METHOD AND DEVICE FOR THE DETECTION OF
MUTATIONS IN ISOLATED GENE SEQUENCES OF
LOW-DENSITY LIPOPROTEIN RECEPTOR (LDL-R)
WHICH IS ASSOCIATED WITH FAMILIAL
HYPERCHOLESTEROLEMIA

Request for Corrected Notice of Recordation; Attachments and Return
Postcard

Filed: _____

JIC/la

